

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Anticipated Classification:
of this Application:
369 Subclass 112

Prior Application:
Examiner: L. Ben
Group Art Unit 2516

Attorney's
Docket
No. 2271/46440-A

71164 U.S. PTO
08/895511
07/16/97

COMMISSIONER OF PATENTS AND TRADEMARKS
Patent Application
Washington, D. C. 20231

S. I. R.:

This is a request for filing a

 X CONTINUATION DIVISIONAL application under:

 X 37 C.F.R. § 1.60 37 C.F.R. § 1.62¹, of pending prior application

Serial No. 08/311,050, filed on September 23, 1994 of

Yoshitaka TAKAHASHI, Hiroshi AKIYAMA, Masami EMOTO for
Inventor(s)

OPTICAL PICKUP APPARATUS

(Title of Invention)

1. X Enclosed is a copy of the prior application as originally filed and an affidavit or declaration verifying it as a true copy (see Item 17, below).
2. A verified statement to establish small entity status under 37 C.F.R. § 1.9 AND 1.27
 is enclosed.
 was filed in the prior application and such status is still proper and desired (37 C.F.R. 1.28(a)).
3. X The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

	Number Filed		Number Extra*		RATE		FEE	
					SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
Total Claims	13-20	=	0	x	\$ 11	\$ 22	= \$	\$ ---
Indep. Claims	2- 3	=	0	x	\$ 40	\$ 80	= \$	\$ ---
Multiple Dependent Claims Presented: Yes <u> </u> No <u> X </u>					\$130	\$260	= \$	\$ ---
*If the difference in col. 1 is less than zero, enter "0" in Col. 2.					BASIC FEE		\$ 385	\$ 770
					TOTAL FEE		\$	\$ 770

1. Filing an application pursuant to this section expressly abandons the parent application.

A

4. X The Commissioner is hereby authorized to charge payment of the following fees associated with this application or credit any overpayment to Deposit Account No. 03-3125.

X Any additional filing fees required under 37 C.F.R. §1.16.

X Any patent application processing fees under 37 C.F.R. §1.17.

_____ The issue fee set forth in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).

5. X Three copies of this sheet are enclosed.

6. X A check in the amount of \$ 770.00 is enclosed.

7. _____ Cancel claims_____

⑧. X Amend the specification by inserting before the first line the sentence: -This is a X continuation division of application Serial No. 08/311,050 filed 09-23-94 ^{new U.S. Pat. No. 5,694,385}

9. X 35 Sheet(s) of X informal X formal drawings is/are enclosed.

10. _____ Transfer the drawings from the prior application to this application.

11. _____ Abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file.

12. X Priority of applications Nos. 5-237027, 5-245295 and 5-270225
filed 09/24/93, 09/30/93 and 10/28/93, respectively, in
Japan is claimed under 35 U.S.C. § 119.

 X The certified copy of the priority application has been
filed in prior application Serial No. 08/311,050, filed
on 09-23-94.

13. X The prior application is assigned of record to _____
 Ricoh Company, Ltd.

14. X A preliminary amendment is enclosed.

15. X The power of attorney in the prior application is to Ivan S. Kavrukov, Reg. No. 25161; Thomas F. Moran, Reg. No. 16579; Christopher C. Dunham, Reg. No. 22031; John P. White, Reg. No. 28678; Thomas G. Carulli, Reg. No. 30616; Robert D. Katz, Reg. No. 30141; Peter J. Phillips, Reg. No. 29691, and each of them, all c/o Cooper & Dunham, 1185 Avenue of the Americas, New York, NY 11706 (Tel.: (212) 278-0400).

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- (a) X The power appears in the original papers in the prior application.
- (b) Since the Power does not appear in the original papers, a copy of the Power in the prior application is enclosed.
- (c) X Address all future communications to:
(May only be completed by applicant, or attorney or agent of record.)

Ivan S. Kavrukov
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, N.Y. 10036

16. X Also enclosed Express Mail Certificate
Information Disclosure Statement
17. X I hereby verify that the attached papers are a true copy of prior application Serial No. 08/311,050, as originally filed on 09/23/94.

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

July 16, 1997
(Date)


(Signature)

Richard F. Jaworski, Reg. No. 33,515
 INVENTOR(S)
 ASSIGNEE OF COMPLETE INTEREST
 ATTORNEY OR AGENT OF RECORD
 X FILED UNDER 37 C.F.R. §1.34(a)

Address of Signator:

Cooper & Dunham
1185 Avenue of the Americas
New York, N.Y. 10036
Tel. (212) 278-0400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of : Yoshitaka TAKAHASHI, et al.

Serial No. : [CON of 08/311,050] Group Art Unit:

Date Filed : Concurrently Herewith Examiner:

For : OPTICAL PICKUP APPARATUS

1185 Avenue of the Americas
New York, N.Y. 10036Assistant Commissioner for Patents
Washington, D.C. 20231
Box Patent ApplicationEXPRESS MAIL
LETTER OF TRANSMITTALExpress Mail mailing label number: EM030216840USDate of Deposit: July 16, 1997

I hereby certify that the above-identified application (which is a Rule 1.60 continuation of S.N. 08/311,050 filed 09-23-94), consisting of a 3-page continuation application (in triplicate), copy of parent application S.N. 08/311,050 filed 09-23-94, copy of the Declaration and Power of Attorney in the parent application, Preliminary Amendment, 35 sheets of formal drawings (and photocopy thereof), Information Disclosure Statement and Form PTO - 1449 and check for \$770 filing fee), is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


Denley Glasgow
Mail Room Employee
Depositing Express Mail Material

Attorney for Applicant:

Richard F. Jaworski, Reg. No. 33,515
Cooper & Dunham
Tel: (212) 278-0400

U.S. Case No.;

AP94-141 (Ricoh F. Number)

Application
for
United States Letters Patent

To whom it may concern:

Be it known that we, Yoshitaka Takahashi, Hiroshi Akiyama, and Masami Emoto, citizens of Japan respectively residing at Hirats-Corpo. 102, 67-10, utsukushigaoka 3-chome, Midori-ku, Yokohama-shi, Kanagawa-ken; Eda-ryo, 17-27, Eda-minami 2-chome Midori-ku, Yokohama-shi, Kanagawa-ken; and 630, Nakata-cho, Izumi-ku, Yokohama-shi, Kanagawa-ken, all Japan have invented certain new and useful improvements in

"OPTICAL PICKUP APPARATUS"

of which the following is a full, clear and exact description.

088954 10159
269140 11556880